

#3



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/037,453	12/20/2001	Brad Allen Medford	8285/487

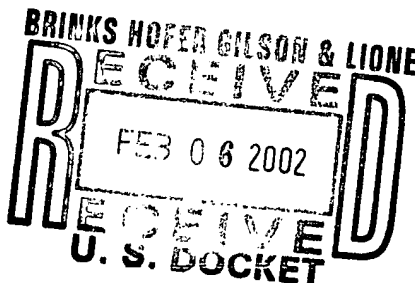
CONFIRMATION NO. 4820

FORMALITIES LETTER



OC000000007401203

JOSEPH F. HETZ
BRINKS HOFER GILSON & LIONE
SUITE 3600
455 N. CITYFRONT PLAZA DR.
CHICAGO, IL 60611



Date Mailed: 01/31/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$36.
 ▪ \$36 for 2 total claims over 20.
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 906.

04/01/2002 BABRAHA1 00000009 231925 10037453

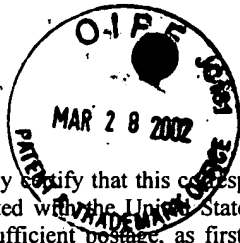
01 FC:101		740.00 OP
02 FC:105		130.00 OP
03 FC:103	1.00 CH	35.00 OP

*A copy of this notice **MUST** be returned with the reply.*

[Signature]
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



I hereby certify that this correspondence is being deposited with the United States Postal Service, with sufficient postage, as first class mail in an envelope addressed to:

Commissioner for Patents
Washington, D.C. 20231
on March 22, 2002

Date of Deposit

Joseph F. Hetz, Reg. No. 41,070

Name of applicant, assignee or
Registered Representative

Signature

March 22, 2002

Date of Signature

Case No. 8285/487

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Medford et al.

Serial No.: 10/037,453

Filed: December 20, 2001

For: MULTILEVEL DATA
COMPRESSION USING
A SINGLE
COMPRESSION ENGINE

Attention: Manager,
Application Branch

**RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION
FILING DATE GRANTED**

Commissioner for Patents
Washington, D.C. 20231

Attn.: Box Missing Parts

Dear Sir:

In accordance with the Notice to File Missing Parts of Application Filing Date Granted dated January 31, 2002, a copy of which is attached, enclosed herewith for filing are the following documents:


☒ Fully executed Declaration for Patent Application and Power of Attorney for the above-referenced patent application.

☒ Applicant is:

- ☐ a small entity, verified statement is:
- ☐ attached
- ☐ already filed
- ☒ other than small entity
- ☒ Checks totaling \$946.00 for:
- ☒ Filing Fee of \$740.00.
- ☒ Surcharge of \$130.00.
- ☒ Additional Claim Fees of \$36.00.
- ☒ Recordation of Assignment Fee \$40.00.
- ☐ Petition for Extension of Time (37 C.F.R. § 1.136(a)) to file missing parts (in duplicate).
- ☒ Other: Assignment and Assignment Recordation Cover Sheet.
- ☒ The Commissioner is hereby authorized to charge any deficiencies in fees or credit overpayment to Deposit Account No. 23-1925. A duplicate copy of this paper is enclosed.

Respectfully submitted,

Dated: March 22, 2002



Joseph F. Hetz
Registration No. 41,070
Attorney for Applicant(s)

BRINKS HOFER GILSON & LIONE
P.O. BOX 10395
CHICAGO, IL 60610
(312)321-4200

441

MP #

TRANSMITTAL LETTER			Case No. 8285/487
Serial No. 10/037,453	Filing Date December 20, 2001	Examiner Undetermined	Group Art Unit Undetermined
Inventor(s) Medford et al.			
Title of Invention MULTILEVEL DATA COMPRESSION USING A SINGLE COMPRESSION ENGINE			

MAR 28 2002
TRADE MAIL

TO THE COMMISSIONER FOR PATENTS

Transmitted herewith is Transmittal Letter (in duplicate); Response to Notice to File Missing Parts of Nonprovisional Application; Copy of Notice to File Missing Parts of Nonprovisional Application; Assignment; Recordation of Assignment; Declaration Combined with Power of Attorney; and postcard evidencing receipt of same.

- ☐ Small entity status of this application under 37 CFR § 1.27 has been established by verified statement previously submitted.
- ☐ A verified statement to establish small entity status under 37 CFR §§ 1.9 and 1.27 is enclosed.
- ☐ Petition for a _____ month extension of time.
- ☐ No additional fee is required.
- ☐ The fee has been calculated as shown below:

					Small Entity		or	Other Than Small Entity	
	Claims Remaining After Amendment		Highest No. Previously Paid For	Present Extra	Rate	Add'l Fee		Rate	Add'l Fee
Total		Minus			x \$9 =			x \$18 =	
Indep.		Minus			x 42 =			x \$84 =	
First Presentation of Multiple Dep. Claim					+ \$140 =			+ \$280 =	
					Total add'l fee	\$		Total add'l fee	\$

- ☐ Please charge Deposit Account No. 23-1925 (BRINKS HOFER GILSON & LIONE) in the amount of \$_____. A duplicate copy of this sheet is enclosed.
- ☒ A check in the amount of \$905.00 to cover the missing parts fee is enclosed.
- ☒ A check in the amount of \$40.00 to cover the assignment recordation fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge payment of any additional filing fees required under 37 CFR § 1.16 and any patent application processing fees under 37 CFR § 1.17 associated with this communication or credit any overpayment to Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed.
- ☒ I hereby petition under 37 CFR § 1.136(a) for any extension of time required to ensure that this paper is timely filed. Please charge any associated fees which have not otherwise been paid to Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

Joseph F. Hetz
Registration No. 41,670
Attorney for Applicant

BRINKS HOFER GILSON & LIONE
P.O. BOX 10395
CHICAGO, ILLINOIS 60610
(312) 321-4200

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231, on March 22, 2002.

Date: March 22, 2002

Signature: _____